



ATTORNEYS AT LAW

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November 10, 2004

UNITED STATES PATENT AND TRADEMARK OFFICE
Box 16
Washington, DC 20231

Attn: Frank Lebron
Refund Department

Re: Deposit Account #150030

Dear Mr. Lebron:

Enclosed is a copy of a portion of our deposit account statement of October, 2004. Please review the highlighted charge on **Serial Number 10/050,865** in the amount of **\$180.00** on fee code #1806.

A **CERTIFIED** Information Disclosure Statement was filed on September 23, 2004. Since this application was not under final rejection, **no fee is required as set forth in 37 CFR 1.97**. Please investigate this charge and kindly refund **\$180.00** to **Deposit Account #150030**.

Copies of the appropriate paperwork are attached. If you have any questions, please contact Debbie Noel at (703) 412-6296. Thank you for your assistance in this matter.

Sincerely,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Debra J. Noel
Accounting Department

Enclosures



United States
Patent and
Trademark Office



Deposit Account Statement

Requested Statement Month: October 2004
 Deposit Account Number: 150030
 Name: NORMAN F. OBLON
 Attention:
 Address: 1940 DUKE STREET
 City: ALEXANDRIA
 State: VA
 Zip: 22314

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
10/01	2	09462472	0010-1075-0	1806	\$180.00	\$28,142.71
10/01	3	10253652	228811US2	1806	\$180.00	\$27,962.71
10/01	4	6045850	9543 - 0001 - 0	1551	\$910.00	\$27,052.71
10/01	5	6045850	9543 - 0001 - 0	1558	\$1,640.00	\$25,412.71
10/01	8	60530418	246890US0/JEM	8007	\$20.00	\$25,392.71
10/01	9	60505161	245777US0/JEM	8007	\$20.00	\$25,372.71
10/01	10	60532905	247257US90P/JEM	8007	\$20.00	\$25,352.71
10/01	11	60520282	245599US90P/JEM	8007	\$20.00	\$25,332.71
10/01	22	60520281	245598US90P/JEM	8007	\$20.00	\$25,312.71
10/01	71	60584472	255523US0/JEM	8007	\$20.00	\$25,292.71
10/01	72	60504225	242894US0P/JEM	8007	\$20.00	\$25,272.71
10/01	73	60500682	242444US8P/JEM	8007	\$20.00	\$25,252.71
10/01	81	10752100	247411US0CONT	2051	\$65.00	\$25,187.71
10/01	122	10899005	256249US2	1202	\$144.00	\$25,043.71
10/01	180	10953095	259723US0	1202	\$18.00	\$25,025.71
10/01	327	10691638	244625US0/JEM	8007	\$20.00	\$25,005.71
10/04	1	09813988	205040US0	1201	\$86.00	\$24,919.71
10/04	2	10031570	217075US2PCT	1001	\$740.00	\$24,179.71
10/04	6	10487245	248850US0XPCT	1615	-\$108.00	\$24,287.71
10/04	240	10494531	252628US2PCT	1617	\$130.00	\$24,157.71
10/05	3	PCT/US04/28804	231752WO	1703	\$12.00	\$24,145.71
10/05	7	10476559	244734US0PCT	1615	\$180.00	\$23,965.71
10/05	28	10732522	246258US6CONT	1051	\$130.00	\$23,835.71
10/05	274	10807301	250934US3	1252	\$430.00	\$23,405.71
10/06	1	09956429	213386US	1202	\$18.00	\$23,387.71
10/06	3	10023888	203515US77	1806	\$180.00	\$23,207.71
10/06	4	09592651	6889-0079-2-	1811	\$100.00	\$23,107.71
10/06	4	10169081	223018US3XPC	1202	\$72.00	\$23,035.71

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10/06 31	10827531	252169US0CONT	1202	\$130.00	\$22,905.71	
10/06 109	09453936	5244-0125-2249396	1201	\$6.00	\$22,899.71	
10/06 229	10486353	349396US3XPCT	1616	\$10.00	\$22,889.71	
10/06 254	6783248	231788US90P/JEM	8013	\$25.00	\$22,864.71	
10/07 3	10127754	222447US2	1201	\$86.00	\$22,778.71	
10/07 6	10448407	238381US2	1201	\$86.00	\$22,692.71	
10/07 103	10490378	251474US0PCT	1616	\$10.00	\$22,682.71	
10/07 133	10509946	259749US6PCT	1616	\$10.00	\$22,672.71	
10/08 18	10068860	218249US0DIV	1253	\$980.00	\$21,692.71	
10/08 267	0151570414	240244PK,MY,TH,AR,BD	8014	\$125.00	\$21,567.71	
10/08 269	10687602	240244MY,PK,AR,TH,BD	8007	\$100.00	\$21,467.71	
10/08 271	60568700	252919US2P/JEM	8007	\$20.00	\$21,447.71	
10/08 275	60372416	222013US0P/JEM	8007	\$40.00	\$21,407.71	
10/08 276	10834861	252744US0/JEM	8007	\$20.00	\$21,387.71	
10/12 1	10085734	220117US3	1806	\$180.00	\$21,207.71	
10/12 8	10244075	226757US0CON	1253	-\$950.00	\$22,157.71	Done Request 9/9/04
10/12 9	10244075	226757US0CON	1202	-\$36.00	\$22,193.71	
10/12 12	09635429	195617US0X	1251	-\$110.00	\$22,303.71	Req. 9/9/04
10/12 13	10068849	216745US0	1460	-\$130.00	\$22,433.71	Req. 9/10/04
10/12 14	10143926	223121US2	1251	-\$110.00	\$22,543.71	Req. 9/7/04
10/12 17	10055905	218202US0	1201	-\$86.00	\$22,629.71	Req. 8/12/04
10/12 18	10055905	218202US0	1202	-\$18.00	\$22,647.71	
10/12 21	10092631	220481US2	1251	-\$110.00	\$22,757.71	Req. 9/7/04
10/12 23	10784204	249369US2	1051	-\$130.00	\$22,887.71	Req. 9/7/04
10/12 51	10959398	255325US96CONT	1202	\$78.00	\$22,809.71	
10/12 53	10959398	255325US96CONT	1203	\$300.00	\$22,509.71	
10/12 55	10960084	258784US23	1202	\$18.00	\$22,491.71	
10/12 68	10510344	258047US90PCT	1611	\$30.00	\$22,461.71	
10/12 74	09987885	216172US0RE	1253	-\$950.00	\$23,411.71	Req. 8/4/04
10/12 258	09625061	165821JP	8007	\$40.00	\$23,371.71	
10/12 261	60162009	165821JP	8007	\$40.00	\$23,331.71	
10/13 2	09706781	199326US2	1811	\$100.00	\$23,231.71	
10/13 3	10489855	250900US0PCT	1615	\$486.00	\$22,745.71	
10/13 6	10479974	246601US3XPCT	1615	\$54.00	\$22,691.71	
10/13 7	09965826	213812US0	1460	-\$130.00	\$22,821.71	Req. 8/4/04
10/13 7	10479974	246601US3XPCT	1616	\$290.00	\$22,531.71	
10/13 9	10722512	245842US0RD DIV	1201	-\$258.00	\$22,789.71	Req. 8/3/04
10/13 38	10125411	222008USCONT	1201	-\$86.00	\$22,875.71	Req. 8/13/04
10/14 2	10398209	236370US2PCT	1202	\$1,008.00	\$21,867.71	
10/14 4	10207899	2255650US2	1501	-\$1,330.00	\$23,197.71	
10/14 5	10207899	2255650US2	1504	-\$300.00	\$23,497.71	
10/14 6	10207899	2255650US2	8001	-\$30.00	\$23,527.71	Req. 9/4/04
10/14 234	10720021	245878US0CONT	1001	\$20.00	\$23,507.71	
10/14 289	60598050	256791US90P/JEM	8007	\$20.00	\$23,487.71	
10/14 293	10782930	249259US2/JEM	8007	\$20.00	\$23,467.71	
10/15 2	10441006	237870US3	1806	\$180.00	\$23,287.71	
10/15 3	10050865	218290US2	1806	\$180.00	\$23,107.71	
10/15 108	10845523	253144US99CONT	1001	\$150.00	\$22,957.71	

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OSMM&N File No. 218290US2

Serial No. 10/050,865

Dept.: E/M

By: GJM/RFF/wmp

In the matter of the Application of: Kouichi OHTAKA, et al.

For: OPTICAL MODULATOR, OPTICAL MODULATOR MANUFACTURING METHOD, LIGHT INFORMATION PROCESSING APPARATUS INCLUDING OPTICAL MODULATOR, IMAGE FORMATION APPARATUS INCLUDING OPTICAL MODULATOR, AND IMAGE PROJECTION AND DISPLAY APPARATUS INCLUDING OPTICAL MODULATOR

Due Date: September 25, 2004

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Dep. Acct. Order Form
- IDS/Related/List of Related Cases (2)



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Docket No. 218290US2



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kouichi OHTAKA, et al.

SERIAL NO: 10/050,865

GAU: 2873

FILED: January 18, 2002

EXAMINER: TRA, T. Q.

FOR: OPTICAL MODULATOR, OPTICAL MODULATOR MANUFACTURING METHOD, LIGHT INFORMATION PROCESSING APPARATUS INCLUDING OPTICAL MODULATOR, IMAGE FORMATION APPARATUS INCLUDING OPTICAL MODULATOR, AND IMAGE PROJECTION AND DISPLAY APPARATUS INCLUDING OPTICAL MODULATOR

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. In accordance with discussions on August 4, 2004 with Mr. Nicholas P. Godici, Commissioner for Patents, it is no longer required to submit copies of cited pending applications. A modification of the Rules will be published soon in the Official Gazette. Cited issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☒ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Gregory J. Maier
Registration No. 25,599

Joseph A. Scafetta, Jr.
Registration No. 26,803

07/15/2004 LWISE1 00000003 150030 10050865

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(OSMMN 03/03)

H:\EM WORK\RELATED CASES\US TRANS 218290US 23SEP04.DOC

Adjustment date: 12/27/2004 SDIRETA2

10/15/2004 LWISE1 00000003 150030 10050865

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